

#5

**FAX TRANSMISSION****DATE:** February 6, 2006**PTO IDENTIFIER:** Application Number 10/530,079-Conf. #7536  
Patent Number**Inventor:** Kenneth K. Li et al.**MESSAGE TO:** US Patent and Trademark Office and Patricia A. BOOKER**FAX NUMBER:** (571) 273-8300 and (571) 273-9033**FROM:** FULBRIGHT & JAWORSKI L.L.P.

Norman D. Hanson

**PHONE:** (212) 318-3168**Attorney Dkt. #:** NY-WAVIEN 222-US (10503634)**RECEIVED**

8 FEB 2006

**PAGES (Including Cover Sheet):** 17Legal Staff  
International Division**CONTENTS:**Letter  
Copy of Notice of Abandonment  
Copies of Documents as filed in PTO on January 20, 2006  
Certificate of Transmission (1 page)

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**FULBRIGHT & JAWORSKI L.L.P.**

666 Fifth Avenue, New York, New York 10103

Telephone: (212) 318-3000 Facsimile: (212) 318-3400

PTO/SB/07 (08-04)

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U. S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Application No. (if known): 10/530,079

Attorney Docket No.: NY-WAVIEN 222-US

**Certificate of Transmission under 37 CFR 1.8**

I hereby certify that this correspondence is being facsimile transmitted to the United States Patent and Trademark Office.

on February 6, 2006  
Date



Signature

Fani Malikouzakis

Typed or printed name of person signing Certificate

Registration Number, if applicable

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Letter

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I hereby certify that this correspondence is being facsimile transmitted to the Patent and Trademark Office, facsimile no. (571) 273-8300, and Patricia Booker, facsimile no. 571-273-9800 on the date shown below.

Dated: 2/6/06 Signature: Fani Mallikouzalia  
(Fani Mallikouzalia)

Docket No.: NY-WAVIEN 222-US  
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:  
Kenneth K. Li et al.

Application No.: 10/530,079

Confirmation No.: 7536

Filed: October 2, 2003

Art Unit: N/A

For: MULTIPLE OUTPUT ILLUMINATION  
USING REFLECTORS

Examiner: Not Yet Assigned

LETTER

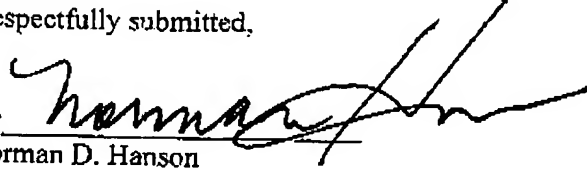
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Response to the Notification of Abandonment mailed January 30, 2006, apparently this crossed in the mail with the response filed on January 20, 2006. A copy is attached, together with evidence that the documents were received.

The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 50-0624, under Order No. NY-WAVIEN 222-US (10503634).

Respectfully submitted,

By   
Norman D. Hanson  
Registration No.: 30,946  
FULBRIGHT & JAWORSKI L.L.P.  
666 Fifth Avenue  
New York, New York 10103  
(212) 318-3000  
(212) 318-3400 (Fax)  
Attorney for Applicant



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
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Alexandria, Virginia 22315-1450  
www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/530,079	Kenneth Li	NY-WAVIEN 222-US
INTERNATIONAL APPLICATION NO.		
PCT/US03/31115		
LA. FILING DATE	PRIORITY DATE	
10/02/2003	10/04/2002	

24972  
FULBRIGHT & JAWORSKI, LLP  
666 FIFTH AVE  
NEW YORK, NY 10103-3198

CONFIRMATION NO. 7536

371  
ABANDONMENT/TERMINATION  
LETTER



\*OC000000D17939163\*

Date Mailed: 01/30/2006

## NOTIFICATION OF ABANDONMENT

The United States Patent and Trademark Office in its capacity as a Designated / Elected Office (37 CFR 1.495) has made the following determination:

- Applicant has failed to respond to the notification of MISSING REQUIREMENTS (Form PCT/DO/EO/905), mailed 06/20/2005 within the time period set therein.

Therefore, the above identified application failed to meet the requirements of 35 U.S.C. 371 and 37 CFR 1.495, and is ABANDONED AS TO THE UNITED STATES OF AMERICA.

PATRICIA A BOOKER

Telephone: (703) 308-9140 EXT 204

## PART 3 - OFFICE COPY

FORM PCT/DO/EO/905 (371 Abandonment Notice)



Date: 02/02/2006

Ari Cohen:

The following is in response to your 02/02/2006 request for delivery information on your Express Mail item number EV79 3660 197U S. The delivery record shows that this item was delivered on 01/23/2006 at 05:44 AM in ALEXANDRIA, VA 22313 to S DYAR. The scanned image of the recipient information is provided below.

Signature of Recipient:                     Delivery Section                      
*Sidney R. Dyar*  
                    POST OFFICE                    

Address of Recipient:

                    P&T OFFICE                      
P.O. BOX 1450  
Alexandria VA 22313

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Sincerely,

United States Postal Service



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## Track & Confirm

### Search Results

Label/Receipt Number: EV79 3680 197U S  
Status: **Delivered**

Your item was delivered at 5:44 am on January 23, 2006 in  
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**Atty Docket No.:** NY-WAVIEN 222-US (10503634)

**Reference:**

**Inventor:** Kenneth K. Li et al.

**Application No.:** 10/530,079-Conf. #7536

**Filing Date:** October 2, 2003

**Title:** MULTIPLE OUTPUT ILLUMINATION USING REFLECTORS

**Documents Filed:**

Combined Declaration and Power of Attorney

Petition for Extension of Time (5-months)

Part 2 Copy of Notice

Response to Notification of Missing Requirements

Check in the amount of \$1080.00

**Via:** Express Mail: Airbill No. EV 793660197 US


**Sender's Initials:** NDH/fm

**Date:** January 20, 2006

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express Mail, Airbill No. EV 783660197 US, in an envelope addressed to: MS Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

Dated: January 20, 2006

Signature:

  
(Fant Malkouzakis)Docket No.: NY-WAVIEN 222-US  
(PATENT)**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of:  
Kenneth K. Li et al.

Application No.: 10/530,079

Filed: October 2, 2003

Confirmation No.: 7536

Art Unit: N/A

For: MULTIPLE OUTPUT ILLUMINATION  
USING REFLECTORS

Examiner: Not Yet Assigned

**RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS**

MS Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In response to the Notification of Missing Requirements mailed June 20, 2005, Applicant respectfully submits a Combined Declaration and Power of Attorney, a Petition for Extension of Time with a check for the requisite fee, and Part 2 Copy of Notice.

Our check in the amount of \$1,080.00 covering the extension of time is enclosed. The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 50-0624, under Order No. NY-WAVIEN 222-US.

Dated: January 20, 2006

Respectfully submitted,

By 

Norman D. Hanson

Registration No.: 30,946

FULBRIGHT &amp; JAWORSKI L.L.P.

666 Fifth Avenue

New York, New York 10103

(212) 318-3000

(212) 318-3400 (Fax)

Attorney for Applicant

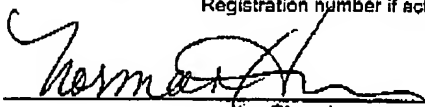
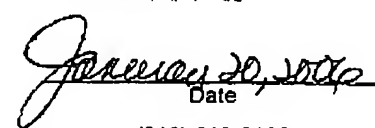
25618613



PTO/SB/22 (12-04)

Approved for use through 7/31/2008. OMB 0831-0031  
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>PETITION FOR EXTENSION OF TIME UNDER 37 CFR 1.136(a) FY 2005</b> (Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4810).)		Docket Number (Optional) NY-WAVIEN 222-US (10503634)																									
Application Number 10/530,079-Conf. #7536		Filed October 2, 2003																									
For <b>MULTIPLE OUTPUT ILLUMINATION USING REFLECTORS</b>																											
Art Unit N/A		Examiner Not Yet Assigned																									
<p>This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a reply in the above identified application.</p> <p>The requested extension and fee are as follows (check time period desired and enter the appropriate fee below):</p> <table style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 40%;"></th> <th style="width: 15%; text-align: center;">Fee</th> <th style="width: 15%; text-align: center;">Small Entity Fee</th> <th style="width: 30%;"></th> </tr> </thead> <tbody> <tr> <td><input type="checkbox"/> One month (37 CFR 1.17(a)(1))</td> <td style="text-align: center;">\$120</td> <td style="text-align: center;">\$60</td> <td style="text-align: center;">\$</td> </tr> <tr> <td><input type="checkbox"/> Two months (37 CFR 1.17(a)(2))</td> <td style="text-align: center;">\$450</td> <td style="text-align: center;">\$225</td> <td style="text-align: center;">\$</td> </tr> <tr> <td><input type="checkbox"/> Three months (37 CFR 1.17(a)(3))</td> <td style="text-align: center;">\$1020</td> <td style="text-align: center;">\$510</td> <td style="text-align: center;">\$</td> </tr> <tr> <td><input type="checkbox"/> Four months (37 CFR 1.17(a)(4))</td> <td style="text-align: center;">\$1590</td> <td style="text-align: center;">\$795</td> <td style="text-align: center;">\$</td> </tr> <tr> <td><input checked="" type="checkbox"/> Five months (37 CFR 1.17(a)(5))</td> <td style="text-align: center;">\$2160</td> <td style="text-align: center;">\$1080</td> <td style="text-align: center;">\$ 1,080.00</td> </tr> </tbody> </table> <p><input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.</p> <p><input checked="" type="checkbox"/> A check in the amount of the fee is enclosed.</p> <p><input type="checkbox"/> Payment by credit card. Form PTO-2038 is attached.</p> <p><input type="checkbox"/> The Director has already been authorized to charge fees in this application to a Deposit Account.</p> <p><input checked="" type="checkbox"/> The Director is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account Number <u>50-0624</u>. I have enclosed a duplicate copy of this sheet.</p> <p>I am the <input type="checkbox"/> applicant/inventor.</p> <p><input type="checkbox"/> assignee of record of the entire interest. See 37 CFR 3.71. Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/98).</p> <p><input type="checkbox"/> attorney or agent of record. Registration Number _____</p> <p><input checked="" type="checkbox"/> attorney or agent under 37 CFR 1.34. Registration number if acting under 37 CFR 1.34 <u>30,948</u></p> <p style="text-align: center;">         Signature        Norman D. Hanson        Typed or printed name     </p> <p style="text-align: center;">         Date        (212) 318-3168        Telephone Number     </p> <p>NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.</p> <p><input type="checkbox"/> Total of <u>1</u> forms are submitted.</p>					Fee	Small Entity Fee		<input type="checkbox"/> One month (37 CFR 1.17(a)(1))	\$120	\$60	\$	<input type="checkbox"/> Two months (37 CFR 1.17(a)(2))	\$450	\$225	\$	<input type="checkbox"/> Three months (37 CFR 1.17(a)(3))	\$1020	\$510	\$	<input type="checkbox"/> Four months (37 CFR 1.17(a)(4))	\$1590	\$795	\$	<input checked="" type="checkbox"/> Five months (37 CFR 1.17(a)(5))	\$2160	\$1080	\$ 1,080.00
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<b>Five Month Request for Extension of Time Under 37 CFR 1.136(a)</b>	
I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA, 22313-1450, on the date shown below.	
Dated: <u>1/20/06</u>	Signature: <u>Fani Malikouzakis</u> (Fani Malikouzakis)

25615412.1

1

WAVIEN 222 USDECLARATION/POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

MULTIPLE OUTPUT ILLUMINATION USING REFLECTORS

the specification of which:

( ) is attached hereto

(X) was filed on April 1, 2005 and assigned Serial No. 10/530,079

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

(Number)	(Country)	(Day/Month/Year Filed)	Yes ( ) No ( ) Priority Claimed
(Number)	(Country)	(Day/Month/Year Filed)	Yes ( ) No ( ) Priority Claimed

25520106.1

WAVIEN 222 US

I hereby claim the benefit under Title 35, United States Code, § 119(e) of any United States provisional application(s) listed below.

<u>60/415,753</u>	<u>October 4, 2002</u>
(Application Number)	(Filing Date)

<u>60/418,169</u>	<u>October 18, 2002</u>
(Application Number)	(Filing Date)

U.S. PRIORITY APPLICATIONS

I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of the application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, Section §1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

<u>PCT/US03/31115</u>	<u>October 2, 2003</u>	<u>Pending</u>
(Applic. Serial No.)	(Filing Date)	(Status patented/pending/abandoned)

(Applic. Serial No.)	(Filing Date)	(Status patented/pending/abandoned)
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<u>09/672,257</u>	<u>September 28, 2000</u>	<u>Patent - 6,392,748</u>
(Applic. Serial No.)	(Filing Date)	(Status patented/pending/abandoned)

POWER OF ATTORNEY

I hereby appoint the following attorneys to prosecute this application and transact all business in the Patent and Trademark Office connected therewith: Peter F. Felfe, Reg. No. 20,297; Norman D. Hanson, Reg. No. 30,946; John A. Bauer, Reg. No. 32,554; James R. Crawford, Reg. No. 39,155; C. Andrew Im, Reg. No. 40,657; and Bruce Koch, Reg. No. 41,905, attorneys with full power of substitution and revocation.

Address all telephone calls to Norman Hanson (212) 318-3168. Address all correspondence to:

CUSTOMER NUMBER: 024972

JAN 18 '06 10:31 TO-12123183400

FROM-THE CENTRAL LIGHTING COMPANY

T-432 P.02/02 F-244

WAVIEN 222 US

Norman D. Hanson, Esq.  
FULBRIGHT & JAWORSKI L.L.P.  
666 Fifth Avenue  
New York, NY 10103

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

(1) Kenneth K. LI

Full Name/Sole or First Inventor

Signature

Date

Residence: 217 Laurel Avenue  
Arcadia, CA 91006

Post Office Address: Same as AboveCitizenship: United States(2) Sheldon SILLYMAN

Full Name/Second Inventor

Signature

Date

Residence: 28102 Robwhite Circle  
Saugus, CA 91350

42695 Mountain Shadow Rd.  
Murrieta CA 92562

Post Office Address: Same as AboveCitizenship: United States

25520106.1

3

WAVIEN 222 US**DECLARATION/POWER OF ATTORNEY**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

**MULTIPLE OUTPUT ILLUMINATION USING REFLECTORS**

the specification of which:

( ) is attached hereto

(X) was filed on April 1, 2005 and assigned Serial No. 10/530,079.

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

			Yes ( ) No ( )
(Number)	(Country)	(Day/Month/Year Filed)	Priority Claimed
(Number)	(Country)	(Day/Month/Year Filed)	Priority Claimed

25520106.1

1

WAVEN 222 US

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(Application Number)	(Filing Date)

<u>60/418,169</u>	<u>October 18, 2002</u>
(Application Number)	(Filing Date)

U.S. PRIORITY APPLICATIONS

I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of the application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, Section §1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

<u>PCT/US03/31115</u>	<u>October 2, 2003</u>	<u>Pending</u>
(Applic. Serial No.)	(Filing Date)	(Status patented/pending/abandoned)

(Applic. Serial No.)	(Filing Date)	(Status patented/pending/abandoned)
----------------------	---------------	-------------------------------------

<u>09/672,257</u>	<u>September 28, 2000</u>	<u>Patent - 6,392,748</u>
(Applic. Serial No.)	(Filing Date)	(Status patented/pending/abandoned)

POWER OF ATTORNEY

I hereby appoint the following attorneys to prosecute this application and transact all business in the Patent and Trademark Office connected therewith: Peter F. Felfe, Reg. No. 20,297; Norman D. Hanson, Reg. No. 30,946; John A. Bauer, Reg. No. 32,554; James R. Crawford, Reg. No. 39,155; C. Andrew Im, Reg. No. 40,657; and Bruce Koch, Reg. No. 41,905, attorneys with full power of substitution and revocation.

Address all telephone calls to Norman Hanson (212) 318-3168. Address all correspondence to:

CUSTOMER NUMBER: 024972

25520106.1

2

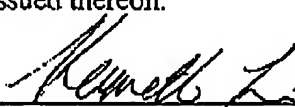
WAVIEN 222 US

Norman D. Hanson, Esq.  
**FULBRIGHT & JAWORSKI L.L.P.**  
666 Fifth Avenue  
New York, NY 10103

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

(1) Kenneth K. LI

Full Name/Sole or First Inventor

  
Signature

8/11/05  
Date

Residence: 217 Laurel Avenue  
Arcadia, CA 91006

Post Office Address: Same as Above

Citizenship: United States

(2) Sheldon SILLYMAN

Full Name/Second Inventor

Signature

Date

Residence: 28102 Bobwhite Circle  
Saugus, CA 91350

Post Office Address: Same as Above

Citizenship: United States

25520106.1

3



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22315-1450  
 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/530,079	Kenneth Li	NY-WAVIEN 222-US

INTERNATIONAL APPLICATION NO.
-------------------------------

PCT/US03/31115

24972  
 FULBRIGHT & JAWORSKI, LLP  
 666 FIFTH AVE  
 NEW YORK, NY 10103-3198

LA. FILING DATE	PRIORITY DATE
10/02/2003	10/04/2002

CONFIRMATION NO. 7536

371 FORMALITIES LETTER



\*OC00000001 8259866\*

Date Mailed: 06/20/2005

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Indication of Small Entity Status
- Copy of the International Application filed on 04/01/2005
- Oath or Declaration filed on 04/01/2005
- U.S. Basic National Fees filed on 04/01/2005
- Priority Documents filed on 04/01/2005
- Power of Attorney filed on 04/01/2005

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the international application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
  - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*



PATRICIA A BOOKER

Telephone: (703) 308-9140 EXT 204

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/530,079	PCT/US03/31115	NY-WAVIEN 222-US

FORM PCT/DO/EO/905 (371 Formalities Notice)

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